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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/813,506

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Daniel D. Shoemaker

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EXAMINER

STAPLES, MARK

ART UNIT

PAPER NUMBER

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MAIL DATE

DELIVERY MODE

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PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

## Office Action Summary

Application No.

10/813,506

Applicant(s)

SHOEMAKER ET AL.

Examiner

Mark Staples

Art Unit

1637

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 02/14/2007.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 122,185,189,192,197,200,217,220-224,226 and 228-232 is/are pending in the application.
- 4a) Of the above claim(s) 220 is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 122,185,189,192,197,200,221-224,226 and 228-232 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)                     | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____.                                     |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)          | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____.   | 6) <input type="checkbox"/> Other: _____.                         |

### DETAILED ACTION

1. Applicants' amendment of claims 122, 178, 185-188, 190-216, 218-220, 225-228, and 232 the paper filed on 02/14/2007 is acknowledged.

It is noted that claim 205 is listed as previously presented, when in fact this claim has been amended, appropriate correction is required.

Claims 178, 186-188, 193-196, 198, 199, 201-219, 225, and 227 were previously withdrawn and remain withdrawn as non-elected, see Office Action mailed on 11/14/2006.

Claim 220 is withdrawn as being dependent on withdrawn claim 217.

Claims 122, 185, 189, 192, 197, 200, 221-224, 226, 228-232, and 226 are pending and at issue.

2. Applicants' arguments filed on 02/14/2007 have been fully considered and are deemed to be persuasive to overcome some of the rejections previously applied. Rejections and/or objections not reiterated from previous office actions are hereby withdrawn.

The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.

**Objections and Rejections that are Withdrawn**

***Objections to Specification Withdrawn***

3. The objection to the abstract is withdrawn in light of the Applicant's amendment of the abstract.
4. The objection to the title is withdrawn in light of the Applicant's amendment of the title.
5. The objection to the specification is withdrawn in light of the Applicant's amendment of the specification to refer to SEQ ID NO: 1.
6. The objection to the improper use of trademark CY DYE™ in the specification is withdrawn in light of Applicant's amendment for proper use of the trademark CY DYE™.

***Objections to Specification Withdrawn***

7. The objection to claims 228-231 is withdrawn in light of the Applicant's amendment of these claims.

***Claim Rejections Withdrawn - 35 USC § 112 Second Paragraph***

8. The rejections of claims 122, 185, 189, 192, 197, 200, 221-224, 226, and 228-232 under 35 USC § 112 Second Paragraph are withdrawn in light of Applicant's amendments to these claims.

***Claim Rejections Withdrawn - 35 USC § 112 First Paragraph***

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9. The rejections of claims 185, 189, 192, 197, 200, 221-224, 226, and 228-232 under 35 USC § 112 First Paragraph are withdrawn in light of Applicant's amendment clarifying claim 185 and thus subsequent dependent claims.

**Rejections that are Maintained**

***Claim Rejections Maintained - 35 USC § 103***

***The Present Rejections / The Law Of Obviousness***

10. In response to applicant's argument on pages 16-18 that the examiner's conclusion of obviousness is based upon improper hindsight reasoning, it must be recognized that any judgment on obviousness is in a sense necessarily a reconstruction based upon hindsight reasoning. But so long as it takes into account only knowledge which was within the level of ordinary skill at the time the claimed invention was made, and does not include knowledge gleaned only from the applicant's disclosure, such a reconstruction is proper. See *In re McLaughlin*, 443 F.2d 1392, 170 USPQ 209 (CCPA 1971).

***The Presently Claimed Invention***

***Lockhart / Bowtell***

11. The rejection of claims 122, 189, 192, 197, 200, 119-222, 224, 226, and 228-231 under 35 U.S.C. 103(a) as being unpatentable over Lockhart et al. in view of Bowtell is maintained.

Applicant's arguments filed 02/14/2007 have been fully considered but they are not persuasive.

Applicant argues that the rejections do not properly address the following two points: (1) the distance between the 5' ends of the sequential sites is always less than 500 bp reflecting the density of the genomic sequences and (2) the genomic sequences span a genomic region of least 25,000 reflecting the span of the genomic sequences. Applicant places emphasis on both of these being claimed limitations of the instant invention. The rejections made in the prior Office Action mailed on 11/14/2006 include both of these limitations in the combined teachings of Lockhart and Bowtell.

On pages 19-20, Applicant presents no answerable argument regarding that Lockhart et al. does teach the *high density* of the claimed invention. Thus it is concluded that the high density teaching of Lockhart et al. is established. Applicant does argue that Lockhart et al. does not teach the *long span* of the claimed invention, but Bowtell is the source relied upon for this teaching. Also Applicant does admit that Lockhart et al. does in fact use sequences less than 500 bp (see 1<sup>st</sup> paragraph on p. 20) rendering contention on this point moot. The distance between sequential sites was always less than 500 bp.

On pages 21-22, Applicant presents no answerable argument regarding that Bowtell does teach the *long span* of the claimed invention. Thus it is concluded that the *long span* teaching of Bowtell is established. Applicant does argue that Bowtell does not teach the *high density* of the claimed invention, but Lockhart is the source relied upon for this teaching.

Applicant further argues there is no motivation to combine the teachings of Lockhart et al. and Bowtell. In response to this argument of Applicant, the test for

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obviousness is not whether the features of a secondary reference may be bodily incorporated into the structure of the primary reference; nor is it that the claimed invention must be expressly suggested in any one or all of the references. Rather, the test is what the combined teachings of the references would have suggested to those of ordinary skill in the art. See *In re Keller*, 642 F.2d 413, 208 USPQ 871 (CCPA 1981).

Bowtell provides the motivation to combine the long genome span with high density of Lockhart et al. Bowtell states many benefits of spanning a genome throughout his teaching. For example, Bowtell states: "Obtaining the entire genomic sequence of *S. cerevisiae* allowed a near-complete set of genes to be generated by PCR, which have been arrayed and analysed<sup>7</sup>" (p. 29, 2<sup>nd</sup> sentence of 1<sup>st</sup> paragraph). Bowtell provides further motivation by describing the goals of the art at the time of the claimed invention: "The use of DNA microarrays for comprehensive RNA expression analysis has caused a great deal of interest recently, although the concept is not new<sup>1,2</sup>. Technical developments that offer increased sensitivity, the prospect that ***all genes*** for a given organism could soon be scrutinized in this way and a general appreciation of the need to integrate information obtained from more traditional and reductionist approaches to biology make microarray-based expression analysis a powerful tool<sup>3</sup>" (p. 25, first two sentences, emphasis by Examiner). Thus from the teachings of Bowtell one of ordinary skill in the art would have been highly motivated to use the high density array as taught by Lockhart et al. to span a genome.

*Schena*

12. The rejection of claim 223 under 35 U.S.C. 103(a) as being unpatentable over Lockhart et al. and Bowtell and further in view of Schena et al. is maintained.

Applicant's arguments filed 02/14/2007 have been fully considered but they are not persuasive.

Applicant argues that Schena et al. does not provide motivation to combine long span and high density. However, it is the teachings of Bowtell, as noted above, which provide this motivation. Schena et al. teaches the use of microarrays to measure plant gene expression, thus rendering obvious the limitation of claim 223. Applicant does not counter this teaching by argument and thus the rejection of claim 223 is maintained.

All prior art rejections are maintained.

**Conclusion**

13. No claim is free of the prior art.

14. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of



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the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

15. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Mark Staples whose telephone number is (571) 272-9053. The examiner can normally be reached on Monday through Thursday, 9:00 a.m. to 6:00 p.m.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Gary Benzion can be reached on (571) 272-0782. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Mark Staples  
Examiner  
Art Unit 1637  
May 11, 2007

MS

*Kenneth R. Horlick*  
KENNETH R. HORLICK, PH.D.  
PRIMARY EXAMINER

5/14/07